



*International  
Virtual  
Observatory  
Alliance*

## Virtual Observatory and High Energy Astrophysics

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### Abstract

Virtual Observatory and High Energy Astrophysics

### Status of this document

This is an IVOA Note expressing suggestions from and opinions of the authors. It is intended to share best practices, possible approaches, or other perspectives on interoperability with the Virtual Observatory. It should not be referenced or otherwise interpreted as a standard specification.

A list of current IVOA Recommendations and other technical documents can be found at <https://www.ivoa.net/documents/>.

# Contents

<b>1</b>	<b>Introduction</b>	<b>4</b>
<b>2</b>	<b>High Energy observatories and experiments</b>	<b>4</b>
2.1	Gamma-ray programs . . . . .	4
2.1.1	CTAO . . . . .	4
2.1.2	H.E.S.S . . . . .	5
2.2	X-ray programs . . . . .	5
2.2.1	Chandra . . . . .	5
2.2.2	XMM-Newton . . . . .	6
2.2.3	SVOM . . . . .	6
2.3	KM3Net and neutrino detection . . . . .	6
<b>3</b>	<b>Common practices in the High Energy community</b>	<b>7</b>
3.1	Data flow specificities . . . . .	7
3.1.1	Event-counting . . . . .	7
3.1.2	Data levels . . . . .	7
3.1.3	Background signal . . . . .	8
3.1.4	Time intervals . . . . .	8
3.1.5	Instrument Response Functions . . . . .	8
3.1.6	Granularity of data products . . . . .	8
3.2	Work flow specificities . . . . .	9
3.2.1	Event selection . . . . .	9
3.2.2	Assumptions and probabilistic approach . . . . .	9
3.3	Data formats . . . . .	9
3.3.1	OGIP . . . . .	9
3.3.2	GADF and VODF . . . . .	9
3.4	Tools for data extraction and visualisation . . . . .	10
<b>4</b>	<b>Use Cases</b>	<b>10</b>
4.1	UC1: re-analyse event-list data for a source in a catalog . . . . .	10
4.2	UC2: observation preparation . . . . .	10
4.3	UC3: transient or variable sources . . . . .	10
4.4	UC4: Multi-wavelength and multi-messenger science . . . . .	10
4.5	Examples of multi-wavelength analysis . . . . .	11
4.5.1	Multiple Imaging Atmospheric Cherenkov Telescopes extraction example . . . . .	11

<b>5</b>	<b>IVOA standards of interest for HE</b>	<b>11</b>
5.1	IVOA Recommendations . . . . .	11
5.1.1	ObsCore and TAP . . . . .	11
5.1.2	DataLink . . . . .	12
5.1.3	HiPS . . . . .	12
5.1.4	MOCs . . . . .	12
5.1.5	MIVOT . . . . .	12
5.1.6	Provenance . . . . .	13
5.2	Data Models in working drafts . . . . .	13
<b>6</b>	<b>Topics for discussions in an Interest Group</b>	<b>13</b>
6.1	Definition of a HE event in the VO . . . . .	13
6.2	ObsCore metadata description of an event-list . . . . .	14
6.2.1	Mandatory fields . . . . .	14
6.2.2	Additional fields . . . . .	15
6.2.3	Access and Description of IRFs . . . . .	15
6.3	Event-list Context Data Model . . . . .	15
6.4	Use of Datalink for HE products . . . . .	16
	<b>References</b>	<b>17</b>
	<b>A Changes from Previous Versions</b>	<b>18</b>

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## Conformance-related definitions

The words “MUST”, “SHALL”, “SHOULD”, “MAY”, “RECOMMENDED”, and “OPTIONAL” (in upper or lower case) used in this document are to be interpreted as described in IETF standard RFC2119 (Bradner, 1997).

The *Virtual Observatory (VO)* is a general term for a collection of federated resources that can be used to conduct astronomical research, education, and outreach. The *International Virtual Observatory Alliance (IVOA)* is a global collaboration of separately funded projects to develop standards and infrastructure that enable VO applications.

## 1 Introduction

High Energy (HE) astronomy typically includes X-ray astronomy, gamma-ray astronomy, neutrino astronomy, studies of cosmic rays, and more recently gravitational wave astronomy. This domain is now sufficiently developed to provide high level data such as catalogs, images, including full-sky surveys for some missions, and sources properties in the shape of spectra and time series. Such high level, HE observations have been included in the VO, via data access endpoints provided by observatories or by agencies and indexed in the VO Registry.

However, after browsing this data, users may want to download lower level data and reapply data reduction steps relevant to their Science objectives. A common scenario is to download HE "event" lists, i.e. lists of detected events on a HE detector, that are expected to be detection of particles (e.g. a HE photon), and the corresponding calibration files, including instrument response functions. The findability and accessibility of these data via the VO is the focus of this note.

We first report typical use cases for data access and analysis of data from current HE observatories. From those use cases, we note that some existing IVOA Recommendations are of interest to the domain. They should be further explored by HE observatories. We then discuss how standards could evolve to better integrate specific aspects of HE data, and if new standards should be developed.

## 2 High Energy observatories and experiments

Several observatories distribute high-energy data, with their dedicated solutions for data access and analysis.

### 2.1 Gamma-ray programs

#### 2.1.1 CTAO

The Cherenkov Telescope Array Observatory (CTAO) is the next generation ground-based instrument for gamma-ray astronomy at Very-High Energies (VHE). With 64 telescopes located in the northern and southern hemispheres, the CTAO will be the first open ground-based gamma-ray observatory and the world's largest and most sensitive instrument to study high-energy phenomena in the Universe. Building on the technology of current generation ground-based gamma-ray detectors (H.E.S.S., MAGIC and VERITAS), the CTAO will be between five and 10 times more sensitive and have unprecedented accuracy in its detection of high-energy gamma rays.

CTAO will distribute data as an open observatory, for the first time in this domain, with calls for proposals and publicly released data after a

proprietary period. CTAO will ensure that the data provided will be FAIR: Findable, Accessible, Interoperable and Reusable, by following the FAIR Principles for data management (Wilkinson and Dumontier et al., 2016). In particular, because of the complex data processing and reconstruction step, the provision of provenance metadata for CTAO data has been a driver for the development of a provenance standard in Astronomy.

CTAO will also ensure VO compatibility of the distributed data and access systems. CTAO participated to the ESCAPE European Project, and is now part of the ESCAPE Open Collaboration to face common challenges for Research Infrastructure in the context of cloud computing, including data analysis and distribution.

A focus of CTAO is to distribute in this context their Data Level 3 (DL3) datasets, that correspond to lists of Cherenkov events detected by the telescopes along with the proper IRFs.

### 2.1.2 H.E.S.S

H.E.S.S. is a system of Imaging Atmospheric Cherenkov Telescopes located in Namibia that investigates cosmic gamma rays in the energy range from 10s of GeV to 10s of TeV. It is constituted of four telescopes officially inaugurated in 2004, and a much larger fifth telescope operational since 2012, extending the energy coverage towards lower energies and further improving sensitivity.

The H.E.S.S. collaboration operates the telescopes as a private experiment and published mainly high level data, i.e. images, time series and spectra in scientific publications after dedicated analyses.

In September 2018, the H.E.S.S. Collaboration has, for the first time and unique time, released a small subset of its archival data in Flexible Image Transport System (FITS) format, an open file format widely used in astronomy. The release consists of event-lists and instrument response functions for observations of various well-known gamma-ray sources (H.E.S.S. Collaboration, 2018).

This test data collection has been registered in the VO via a TAP service hosted at the Observatoire de Paris, with a tentative ObsCore description of each dataset. We hope that in the future, the H.E.S.S. legacy archive will be published in a similar way and accessible through the VO.

## 2.2 X-ray programs

### 2.2.1 Chandra

The Chandra X-ray Observatory, launched in 1999, is part of NASA's fleet of "Great Observatories". Chandra allows scientists from around the world to obtain X-ray images of exotic environments to help understand the structure and evolution of the universe.

To be completed: CXC; catalog, data... and VO access.

### 2.2.2 XMM-Newton

The European Space Agency's (ESA) X-ray Multi-Mirror Mission (XMM-Newton) was launched in 1999. XMM-Newton is ESA's second cornerstone of the Horizon 2000 Science Program. It carries 3 high throughput X-ray telescopes with an unprecedented effective area, and an optical monitor, dedicated to the study of celestial X-ray sources.

To be completed: XMM catalogs, data... and VO access.

### 2.2.3 SVOM

The SVOM mission (Space-based multi-band astronomical Variable Objects Monitor) is a Franco-Chinese mission dedicated to the study of the most distant explosions of stars, the gamma-ray bursts. It is to be launched end 2023.

To be completed

## 2.3 KM3Net and neutrino detection

The KM3NeT neutrino detectors are an array of water-based Cherenkov detectors currently under construction in the deep Mediterranean Sea. With its two sites off the French and Italian coasts the KM3NeT collaboration aims at single particle neutrino detection for neutrino physics with the more densely instrumented ORCA detector in the GeV to TeV range, and high-energy astrophysics with the ARCA detector in the TeV range and above.

Using Earth as a shield from atmospheric particle interference by searching for upgoing particle tracks in the detectors, the measurement of astrophysical neutrinos can be performed almost continuously for a wide field of view that covers the full visible sky. For these particle events, extensive Monte Carlo simulations are performed to evaluate the statistical significance towards the various theoretical assumptions for galactic or cosmic neutrino signals.

During the construction phase, the KM3NeT collaboration develops its interfaces for open science and builds on the data gathered by its predecessor ANTARES, from which neutrino event lists have already been published on the KM3NeT VO server as TAP service.

To be completed (e.g. Jutta)

## 3 Common practices in the High Energy community

### 3.1 Data flow specificities

#### 3.1.1 Event-counting

Observations of the Universe at high energies are based on techniques that are radically different compared to the optical, or radio domain. HE observatories are generally designed to detect particles, e.g. individual photons, cosmic-rays, or neutrinos, with the ability to estimate several characteristics of those particles. This technique is generally named **event counting**, where an event has some probability of being due to the interaction of an astronomical particle with the detectors.

The data corresponding to an **event** is first an instrumental signal, which is then calibrated and processed to estimate event characteristics such as a time of arrival, coordinates on the sky, and the energy proxy associated to the event. Several other intermediate and qualifying characteristics can be associated to a detected event.

When observing during an interval of time, the data collected is a list of the detected events, named an **event list** in the HE domain, and event-list in this document.

#### 3.1.2 Data levels

After the detection of events, data processing steps are applied to generate data products corresponding at least 3 data levels. For example, in the VHE Cherenkov astronomy domain (e.g. CTA), those data levels are labelled DL3<sup>1</sup> to DL5. In the X-ray domain, this would correspond to L1, L2, L3.

- L1 (or DL3): an event-list is first a list of events with calibrated temporal and spatial characteristics (e.g. sky coordinates for a given epoch, time with a reference)
- L2 (or DL4): the event-list is then binned to generate images, spectra of light-curve in counts unit, and corresponding instrument response correction (exposure maps, sensitivity maps...)
- L3 (or DL5): Calibrated maps, or spectral energy distributions for a source, or light-curves in physical units

An additional data level corresponds to catalogs, e.g. a source catalog pointing to several data products, each one corresponding to a source.

---

<sup>1</sup>events being reconstructed, lower level data is specific this domain (DL0-DL2).

### 3.1.3 Background signal

Observations in HE may contain a high background signal, that may be due to instrument noises, or to unresolved astrophysical sources or emission from extended regions. The characterization and estimation of this background is particularly important to then apply corrections during the analysis of a source signal.

### 3.1.4 Time intervals

Depending on the stability of the instruments and observing conditions, a HE observation can be decomposed into several intervals of time that will be further analysed. For example, Stable Time Intervals (STI) are defined in Cherenkov astronomy to characterize the instrument response over a stable period of time. In the X-ray domain, Good Time Intervals (GTI) are computed, e.g. to reject intervals of time contaminated by solar flares.

### 3.1.5 Instrument Response Functions

Though an event-list can contain calibrated physical values, this data still has to be corrected for the response of the instruments used. Several Instrument Response Functions (IRFs) thus have to be applied to enable a scientific analysis of an event-list. The IRFs are applied to convert the events that were detected into an estimation of the real flux of particles arriving at the instrument and morphology of the source.

### 3.1.6 Granularity of data products

The efficient granularity for distributing HE data products seems to be the full combination of data and IRFs, although some of the IRFs may also be recomputed by a service or script after parameters selection.

In order to allow for multi-wavelength data discovery of HE data products and compare observations across different regimes, it seems appropriate to distribute the metadata in the VO ecosystem together with an access link to the data file in community format for finer analysis.

The event-list dataset is generally stored as a table, with one row for a candidate detection (event) and several columns for the estimated physical parameters, at least time, sky position, energy, and extra parameters not standardized across projects: errors, flags, etc.

The list of columns present in the event-list is for example described in the data format in use in the HE domain, such as OGIP or GADF as introduced below. The data formats in use generally describe the event-list data together with the IRFs and other relevant information, such as: Stable or Good Time Interval, Effective Area, Energy Dispersion, Point Spread Function, Background,...



## 3.2 Work flow specificities

### 3.2.1 Event selection

When processing an event-list, it is important to perform an optimal selection of the events that are more likely to be due to the incident particles expected. This selection may depend on the source targeted or on the science objectives.

### 3.2.2 Assumptions and probabilistic approach

In order to produce advanced data products like light curves or spectra, assumptions about the kind of particles, noise, source type and its expected energy distribution must be introduced. This is one of the main driver for enabling a full and well described access to event-list data, as scientific analyses generally start at this data level.

## 3.3 Data formats

### 3.3.1 OGIP

The HEASARC FITS Working Group, also known as the OGIP (Office of Guest Investigator Programs) FITS Working Group, has promoted multi-mission standards for the format of FITS data files in high-energy astrophysics. Those recommendations<sup>2</sup> include standards on keyword usage in metadata, on storage of time information, and representation of response function.

To be completed

### 3.3.2 GADF and VODF

The data formats for gamma-ray astronomy<sup>3</sup> (GADF) is a community-driven initiative for the definition of a common and open high-level data format for gamma-ray instruments (Nigro and Hassan et al., 2021). GADF is based on the OGIP standards and is specialised for Very High Energy data.

The Very-high-energy Open Data Format<sup>4</sup> (VODF), is an open data model and format for Very-High-Energy (VHE) gamma-ray and neutrino astronomy. Its goal is to provide a standard set of file formats and standards for data starting at the reconstructed event level as well as higher-level products such as N-dimensional binned data cubes (including sky images, light curves, and spectra) and source catalogues. With these standards, common science tools can be used to analyze data from multiple high-energy instruments. VODF aims to follow as much as possible the IVOA standards.

<sup>2</sup>[https://heasarc.gsfc.nasa.gov/docs/heasarc/ofwg/ofwg\\_recomm.html](https://heasarc.gsfc.nasa.gov/docs/heasarc/ofwg/ofwg_recomm.html)

<sup>3</sup><https://gamma-astro-data-formats.readthedocs.io/>

<sup>4</sup><https://vodf.readthedocs.io/>

To be completed

### 3.4 Tools for data extraction and visualisation

Xray data are analysed by general tools like Xspec, gammapy, and ... TBC . Some tools are specific to the data archive like CiaO for Chandra, for instance.

To be completed (e.g. ???)

## 4 Use Cases

### 4.1 UC1: re-analyse event-list data for a source in a catalog

After the selection of a source of interest, or a group of sources, one may access different HE data products such as images, spectra and light-curves, and then want to download the corresponding event-lists and calibrations to further analyse the data.

To be completed (e.g. Paula, Laurent)

One of the characteristics of the HE data is that, contrary to what is usually done in optics for example, their optimal use requires providing users with a view of the processing that generated the data. This implies providing ancillary data, products with different calibration levels, and possibly linking together products issued by the same processing. (LM)

### 4.2 UC2: observation preparation

When planning for a new HE observation, one needs to search for any existing event-list data already available in the targeted sky regions, and assess if this data is sufficient to fulfill the science objectives.

To be completed (e.g. Bruno)

### 4.3 UC3: transient or variable sources

To be completed (e.g. Ada)

### 4.4 UC4: Multi-wavelength and multi-messenger science

Though there are scientific results based on HE data only, the multi-wavelength and multi-messenger approach is particularly developed in the HE domain. An astrophysical source of HE radiations is indeed generally radiating energy in several domains across the electromagnetic spectrum and may be a strong

source of other particles. It is not rare to observe a HE source in radio and to look for counterparts in the infrared, optical or UV domain. Spectroscopy is also widely used to identify HE sources.

The HE domain is thus confronted to different kinds of data types and data archives, which leads to interesting use cases for the development of the VO.

To be completed (e.g. Bruno)

## 4.5 Examples of multi-wavelength analysis

### 4.5.1 Multiple Imaging Atmospheric Cherenkov Telescopes extraction example

In order to exploit high energy data across a large interval of energy values, and from various IACTs, there is a need to harmonise metadata description. Datasets can then be mixed together to create a fused event-list dataset, to expand the analysis along the spectral energy axis and study the spectral behaviour of an astronomical object.

This was proposed in (Nigro and Deil et al., 2019) by a group of HE astronomers of various HE facilities. In this work, the authors implemented a prototypical data format (GADF) for a small set of MAGIC, VERITAS, FACT, and H.E.S.S. Crab nebula observations, and they analyzed them with the open-source `gammapy` software package (`gammapy`). By combining data from Fermi-LAT, and from four of the currently operating imaging atmospheric Cherenkov telescopes, they produced a joint maximum likelihood fit of the Crab nebula spectrum.

Such a work has been more recently extended with the HAWC data (Albert and Alfaro et al., 2022).

## 5 IVOA standards of interest for HE

### 5.1 IVOA Recommendations

#### 5.1.1 ObsCore and TAP

Event-list datasets can be described in ObsCore using a `dataprodect_type` set to "event". However, this is not widely used in current services, and we observe only a few services with event-list datasets declared in the VO Registry, and mainly the H.E.S.S. public data release (see 2.1.2).

As services based on the Table Access Protocol (Dowler and Rixon et al., 2019) and ObsCore are well developed within the VO, it would be a straightforward option to discover HE event-list datasets, as well as multi-wavelength and multi-messenger associated data.

Here is the evaluation of the ObsCore metadata for distributing high energy data set, some features being re-usable as such, and some other features requested for addition or re-interpretation.

### 5.1.2 DataLink

To be completed (e.g. François)

### 5.1.3 HiPS

Several HE observatories are well suited for sky survey, and the Hierarchical Progressive Survey (HiPS) standard is well suited for sky survey exploration. We note that the Fermi facility provides a useful sky survey in the GeV domain.

### 5.1.4 MOCs

Cross-correlation of data with other observations is an important use case in the HE domain. Using the Multi-Order Coverage map (MOC) standard, such operations become more efficient. Distribution of MOCs associated to HE data should thus be encouraged and especially ST-MOCs (space + time coverage) that make easier the study of transient phenomena. (LM)

### 5.1.5 MIVOT

Model Instances in VOTables (MIVOT) defines a syntax to map VOTable data to any model serialized in VO-DML. The annotation operates as a bridge between the data and the model. It associates the column/param metadata from the VOTable to the data model elements (class, attributes, types, etc.) [...]. The data model elements are grouped in an independent annotation block complying with the MIVOT XML syntax. This annotation block is added as an extra resource element at the top of the VOTable result resource. The MIVOT syntax allows to describe a data structure as a hierarchy of classes. It is also able to represent relations and composition between them. It can also build up data model objects by aggregating instances from different tables of the VOTable. In the case of HE data, this annotation pattern, used together with the MANGO model, will help to make machine-readable quantities that are currently not considered in the VO, such as the hardness ratio, the energy bands, the flags associated with measurements or extended sources. (LM)

To be completed

### 5.1.6 Provenance

To be completed (e.g. Mathieu)

## 5.2 Data Models in working drafts

The HE domain and practices could serve as use cases for the developments of data models, such as Dataset DM, Cube DM or MANGO DM.

## 6 Topics for discussions in an Interest Group

### 6.1 Definition of a HE event in the VO

The IVOA standards include the concept of event-list, for example in ObsCore v1.1 (Louys and Tody et al., 2017), where event is a `dataprodect_type` with the following definition:

**event:** an event-counting (e.g. X-ray or other high energy) dataset of some sort. Typically this is instrumental data, i.e., "event data". An event dataset is often a complex object containing multiple files or other substructures. An event dataset may contain data with spatial, spectral, and time information for each measured event, although the spectral resolution (energy) is sometimes limited. Event data may be used to produce higher level data products such as images or spectra.

More recently, a new definition was proposed in the product-type vocabulary<sup>5</sup> (draft):

**event-list:** a collection of observed events, such as incoming high-energy particles. A row in an event list is typically characterised by a spatial position, a time and an energy.

Such a definition remains vague and general, and could be more specific, including a definition for a HE event, and the event-list data type.

#### Discussion topics:

- Propose definitions for product-type:
  - **event-list:** A collection of observed events, such as incoming high-energy particles, where an event is generally characterised by a spatial position, a time and a spectral value (e.g. an energy or a channel).

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<sup>5</sup><https://www.ivoa.net/rdf/product-type>

- **event-bundle**: An event-bundle dataset is a complex object containing an event-list and multiple files or other substructures with contextual information, in order to correct from the instrument signature in the detection of events. Event-bundle data may be used to produce higher level data products such as images or spectra.
- ObsCore erratum: change event for event-list and event-bundle

## 6.2 ObsCore metadata description of an event-list

### 6.2.1 Mandatory fields

ObsCore (Louys and Tody et al., 2017) can provide a metadata profile for a data product of type event-list and a qualified access to the distributed file using the Access class from ObsCore (URL, format, file size).

In the ObsCore representation, the event-list data product is described in terms of curation, coverage and access. However, several properties are simply set to NULL following the recommendation: Resolutions, Polarization States, Observable Axis Description, Axes lengths (set to -1)...

We also note that some properties are energy dependent, such as the Spatial Coverage, Spatial Extent.

- dataproduct\_subtype = DL3, maybe specific data format (VODF)
- calib\_level = between 1 and 2
- obs\_collection could contain many details : obs\_type (calib, science), obs\_mode (subarray configuration), pointing\_mode, tracking\_mode, event\_type, event\_cuts, analysis\_type...
- s\_ra, s\_dec = telescope pointing coordinates
- target\_name : several targets may be in the field of view
- s\_fov, s\_region, s\_resolution, em\_resolution... all those values are energy dependent, one should specify that the value is at a given energy, or within a range of values.
- em\_min, em\_max : add fields expressed in energy (e.g. TeV)
- t\_exptime : ontime, livetime, stable time intervals... maybe a T-MOC would help
- facility\_name, instrument\_name : minimalist, would be e.g. CTAO and a subarray.

### 6.2.2 Additional fields

The searching criteria in terms of time coverage require the list of stable/good time intervals to pick appropriate datasets. `t_min`, `t_max` is the global time span but `t_gti` could contain the list of GTI as a T\_MOC description following the Multi-Order-Coverage (MOC) IVOA standard (Fernique and Nebot et al., 2022). This element could then be compared across data collections to make the data set selection via simple intersection or union operations in T\_MOC representation. On the data provider's side, the T-MOC element can be computed from the Stable/Good Time Interval table in OGIP or GADF to produce the ObsCore `t_gti` field.

A data release

### 6.2.3 Access and Description of IRFs

Each IRF file can have an Access object from ObsCore DM to describe a link to the IRF part of the data file. This can be reflected in an extension of ObsTAP TAP\_SCHEMA.

In the TAP service we can add an IRF Table, with the following columns:

- event-list datapublisher\_id
- irf\_type, category of response: EffectiveArea, PSF, etc.
- irf\_description, one line explanation for the role of the file
- Access.url, URL to point to the IRF
- Access.format, format of IRF
- Access.size, size of IRF file

## 6.3 Event-list Context Data Model

The event-list concept may include, or may be surrounded by other connected concepts. Indeed, an event-list dataset alone cannot be scientifically analysed without the knowledge of some contextual data and metadata, starting with the good/stable time intervals, and the corresponding IRFs.

The aim of the Event-list Context Data Model is to name and indicate the relations between the event-list and its contextual information. It is presented in Figure 1.

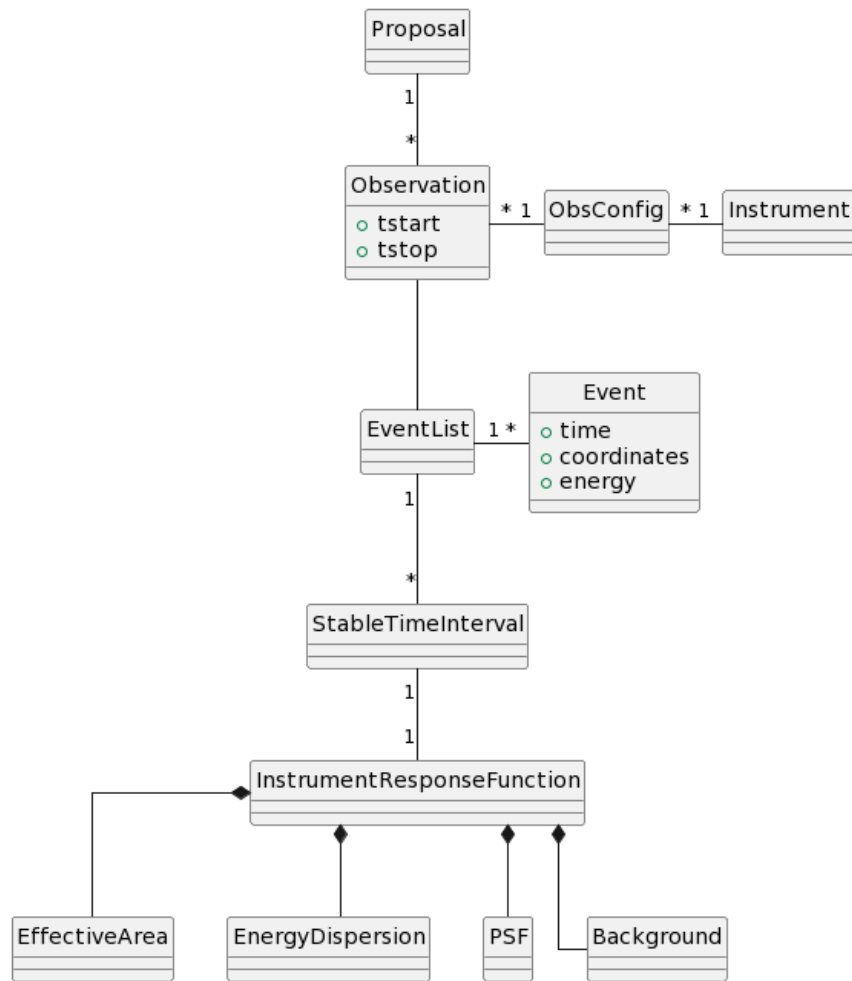


Figure 1: event-list Context Data Model

## 6.4 Use of Datalink for HE products

There are two options to provide an access to a full event-list package.

First, the event-list dataset itself can contain all the relevant information, e.g. several frames in FITS file, one corresponding to the event-list itself, and the other providing good/stable time intervals, or any IRF file. This is what was done in the current GADF data format (see 3.3.2).

The second option is to provide links to the relevant information from the base event-list dataset. This could be done using Datalink and a list of link to each contextual information such as the IRFs. The Event-list Context Data Model (see 6.3) would provide the concepts and vocabulary to characterise the IRFs and other information relevant to the analysis of an event-list.

In the first option, The content of the event-list package should be prop-



erly defined in its description: what information is included and where is it in the dataset structure? Here again, the Event-list Context Data Model (see 6.3) would be useful.

To be completed

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## A Changes from Previous Versions

No previous versions yet.